

**CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)**

I hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service

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on the date inserted below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Lynette Eliason  
Typed name of person mailing transmittal

December 16, 1999  
Date of Deposit

Lynette Eliason  
Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To be assigned Group Art Unit No.: 1652  
Applicant(s): Havenga et al. Filing date: July 7, 1999  
Serial No.: 09/348,354 For (title): CHIMAERIC ADENOVIRUSES



COMMUNICATION TRANSMITTAL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).  
☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.  
☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.  
☐ Preliminary amendment.  
☐ Amendment or other communication in response to the non-final office action mailed.  
☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.  
☒ Petition for Extension of Time in duplicate with check no. 12892 in the amount of \$190.00.  
☒ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of) Domenico Valerio on behalf of IntroGene B.V.  
☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.  
☒ Notice to File Missing Parts; Form PTO-1533; Declaration for patent application (with power of attorney) form; Check No. 12891 in the amount of \$65.00 for surcharge.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	EXTRA
Total claims	-	=	x 18.00 =	
Indep. claims	-	=	x 78.00 =	
First presentation of a multiple dep. claim (+260.00)				
SUBTOTAL				
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				

\* Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.  
2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.  
3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Allen C. Turner

Allen C. Turner  
Reg. No. 33,041  
TRASK, BRITT & ROSSA  
P.O. Box 2550  
Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: December 16, 1999  
Enclosures: As identified above

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Lynette Eliason  
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Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To be assigned  
Applicant(s): Havenga et al  
Serial No.: 09/348,364

Group Art Unit No.: 1652  
Filing date: July 7, 1999  
For (title): CHIMAERIC ADENOVIRUSES

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.136(a), an extension of time is requested as marked below:

ACTION FOR WHICH EXTENSION IS REQUESTED

- ☐ Response to non-final office action mailed  
(timely and complete response not previously filed).  
☐ Response to final office action mailed  
(application not placed in a condition for allowance by a previous timely filed response).  
☐ Filing of Appellant's brief, notice of appeal dated .  
☐ Filing of a continuing application having an express abandonment of this application conditioned on the granting of a filing date to the continuing application.  
☒ Response to notice to file missing parts of application.

EXTENSION REQUEST PERIOD AND FEE CALCULATION

- ☒ The period for taking the above-identified action without extension fees expired on 10/17/99.  
☐ The period for taking the above-identified action has previously been extended (by the payment of the appropriate extension fee) to

The requested extension period (and associated fee) is:

- |   |   |
|---|---|
| <input type="checkbox"/> One month<br>§ 1.17(a)             | <input type="checkbox"/> \$ 55.00 - small entity*<br><input type="checkbox"/> \$ 110.00             |
| <input checked="" type="checkbox"/> Two months<br>§ 1.17(b) | <input checked="" type="checkbox"/> \$ 190.00 - small entity*<br><input type="checkbox"/> \$ 380.00 |
| <input type="checkbox"/> Three months<br>§ 1.17(c)          | <input type="checkbox"/> \$ 435.00 - small entity*<br><input type="checkbox"/> \$ 870.00            |
| <input type="checkbox"/> Four months<br>§ 1.17(d)           | <input type="checkbox"/> \$ 680.00 - small entity*<br><input type="checkbox"/> \$ 1,360.00          |

Comments:

- ☐ \*Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.  
☒ \*A verified statement to establish small entity status under 37 C.F.R. § 1.9 and § 1.27 is enclosed.  
☒ Any additional patent application processing fees under 37 C.F.R. § 1.17 which may be due should be charged to deposit account no. 20-1469. A duplicate copy of this petition is enclosed.

12/29/1999 ASHLE 00000076 09348354

02 FC:216

190.00 DP

Respectfully submitted,

*Allen C. Turner*  
Allen C. Turner  
Reg. No. 33,041  
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Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: December 16, 1999

Enclosures: Postcard, Check no. 12892 or \$190.00,  
Duplicate copy of this petition